

AO 440 (Rev. 12/09) Summons in a Civil Action

UNITED STATES DISTRICT COURT

for the  
Northern District of Texas

Town North Bank, NA

*Plaintiff*

v.

Shay Financial Services, Inc., et al

*Defendant*

Civil Action No. 3:11-cv-03125-L

**Summons in a Civil Action**

**TO:** UBS Financial Services Inc

A lawsuit has been filed against you.

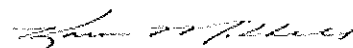
Within 21 days after service of this summons on you (not counting the day you received it) -- or 60 days if you are the United States or a United States agency, or an officer or employee of the United States described in Fed. R. Civ. P. 12(a)(2) or (3) -- you must serve on the plaintiff an answer to the attached complaint or a motion under Rule 12 of the Federal Rules of Civil Procedure. The answer or motion must be served on the plaintiff or the plaintiff's attorney, whose name and address are:

Stuart Reynolds  
300 N. Coit Road  
Suite 1125  
Richardson , TX 75080

If you fail to respond, judgment by default will be entered against you for the relief demanded in the complaint. You also must file your answer or motion with the court.

SEE ATTACHED RETURN OF SERVICE

CLERK OF COURT



Signature of Clerk or Deputy Clerk

DATE: 11/14/2011



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**PROOF OF SERVICE**

(This section should not be filed with the court unless required by Fed. R. Civ. P. 4 (1))

This summons for (name of individual and title, if any) UBS Financial Services, Inc.  
was received by me on (date) 12/12/11.

☐ I personally served the summons on the individual at (place) \_\_\_\_\_  
\_\_\_\_\_ on (date) \_\_\_\_\_; or

☐ I left the summons at the individual's residence or usual place of abode with (name) \_\_\_\_\_  
\_\_\_\_\_, a person of suitable age and discretion who resides there,  
on (date) \_\_\_\_\_, and mailed a copy to the individual's last known address; or

☒ I served the summons on (name of individual) Susan Vertrees, who is designated  
by law to accept service of process on behalf of (name of organization) UBS Financial Services, Inc.  
through Corporation Service Company on (date) 12/12/11 @ 12:21 pm; or

☐ I returned the summons unexecuted because \_\_\_\_\_; or

☐ other (specify) \_\_\_\_\_  
\_\_\_\_\_

My fees are \$ \_\_\_\_\_ for travel and \$ \_\_\_\_\_ for services, for a total of \$ \_\_\_\_\_

I declare under penalty of perjury that this information is true.

Date: 12/12/11

Samuel Weeks  
Server's signature

Samuel Weeks, SCHWAB  
Printed name and title

316 W. 12th St. Ste. 316  
Austin, TX 78701  
Server's address

Additional information regarding attempted service, etc: